

# Societal expectations for the seismic performance of buildings

Reflections for critical infrastructure

# Background



New Zealand has gone through a period of unprecedented losses from seismic activity, which has highlighted shortcomings in the seismic settings of our building regime.



Engineering knowledge in designing for earthquakes has advanced significantly since the current approach to building design was developed in the 1970s.



New Zealand's urban landscape has also changed profoundly with more multi-storey development, in-fill housing etc.



New Zealand's Building Code has not kept up with these developments. New Zealand's seismic thresholds for damage are relatively low, meaning Kiwis are exposed to considerable economic and social disruption after earthquakes.



A recent review commissioned by MBIE from the Seismic Risk Working Group raised fundamental issues about Building Code's seismic regime objectives and who makes the value tradeoffs required.

# Project Team



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## Steering group

- **Sarah Beaven**, University of Canterbury
- **Dave Brunsdon**, Krestel
- **Caleb Dunne**, EQC
- **Ken Elwood**, MBIE/EQC
- **Derek Gill**, NZIER
- **John Hare**, Holmes Consulting Group
- **Jo Horrocks**, EQC
- **Rob Jury**, Beca

# What we did



## INTERVIEWS

32 individuals who represented different:

- seismic hazard zones
- geographies
- socioeconomic groups
- industry groups
- ownership / occupancy perspectives
- cultural contexts



## FOCUS GROUPS

6 geographically based groups

- urban centres & towns
- different seismic hazard levels

Groups comprising 3-7 individuals who represented:

- local civil defence
- business community
- health sector
- welfare sector
- environmental interests
- Māori world view



# Focus Group – Risk Matrices



New Zealand's Expectations on Seismic Resilience of Buildings

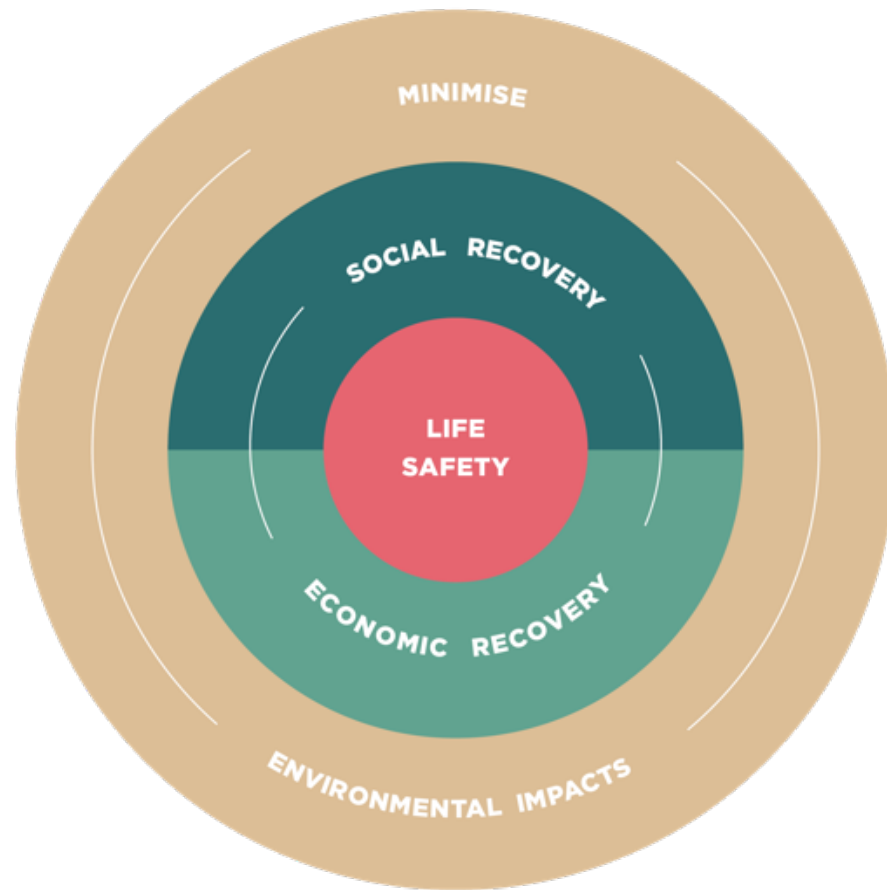
<b>INTOLERABLE</b>	no way – risk is so great that it can't be justified
<b>TOLERABLE</b>	I can put up with this but would like it to change
<b>ACCEPTABLE</b>	part of daily life – these things happen

Average FREQUENCY and LIKELIHOOD	CONSEQUENCE (HUMAN)			
	I	II	III	IV
	<ul style="list-style-type: none"> <li>Low impact on human wellbeing (<i>capacity to work, study recreate, socialise</i>)</li> </ul>	<ul style="list-style-type: none"> <li>&lt; 1 in 20,000 people injured</li> <li>Some education temporary closures (&lt; 1 week)</li> <li>Some social and recreational activities disrupted (&lt; 1 week)</li> </ul>	<ul style="list-style-type: none"> <li>No or minimal fatalities</li> <li>Between 1 in 20,000 and 1 in 2,000 people injured</li> <li>Education facilities temporary closures (&lt; 1 month)</li> <li>Temporary disruption to social or recreational activities (&lt; 1 month)</li> <li>Some ongoing mental health challenges (6-12 months)</li> </ul>	<ul style="list-style-type: none"> <li>Multiple fatalities</li> <li>&gt; 1 in 2,000 people injured</li> <li>Education facilities prolonged closures</li> <li>Limited or no access to social or recreational activities for significant period</li> <li>Significant and ongoing mental health challenges (&gt;12 months)</li> </ul>
Less than once every 2500 years (extremely rare) <2% chance in typical building life (extremely unlikely)				
Once every 1000-2500 years (very rare) 2-5% chance in typical building life (very unlikely)				
Once every 250-1000 years (rare) 5-20% chance in typical building life (unlikely)				
Once every 100-250 years (occasional) 20-50% chance in typical building life (less than likely)				
Once every 50 - 100 years (sometimes) 50-100% chance in typical building life (likely)				
Once every 0-50 years (often) Probably once in typical building life (very likely)				



# Seismic resilience performance objectives

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## 1: LIFE SAFETY

- Avoid mass casualty events
- Protect vulnerable persons
- Ensure safety at mass gathering points
- Preserve high value skills and resources
- Support immediate response activities

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## 2: SOCIAL RECOVERY

- Ensure equitable access to essential goods and services
- Enable effective governance
- Have plans to connect
- Return a sense of normalcy
- Retain a sense of place and cultural identity

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## 3: ECONOMIC RECOVERY

- Restore enabling services and industries
- Enable people to work
- Build business confidence

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## 4: MINIMISE ENVIRONMENTAL IMPACT

- Minimise waste generation
- Avoid hazardous waste or potential public health risks
- Reduce embodied carbon



# Recovery progression

# Recovery Progression

BUILDING TYPE	1 DAY	1 WEEK	1 MONTH	3 MONTHS	12 MONTHS
Critical Infrastructure (water, electricity, etc)	Dark Green	Dark Green	Dark Green	Dark Green	Dark Green
Hospital	Dark Green	Dark Green	Dark Green	Dark Green	Dark Green
Community Meeting Place	Light Green	Light Green	Light Green	Light Green	Light Green
Aged Care Facility	Light Green	Light Green	Dark Green	Dark Green	Dark Green
Supermarket	Light Green	Light Green	Light Green	Light Green	Light Green
Government/Council Office	Light Green	Light Green	Dark Green	Dark Green	Dark Green
Food Production Facility	Light Green	Dark Green	Dark Green	Dark Green	Dark Green
Motel	Light Green	Light Green	Light Green	Dark Green	Dark Green
Residential Apartments/Houses	Light Green	Light Green	Dark Green	Dark Green	Dark Green
Warehouse	Light Green	Light Green	Dark Green	Dark Green	Dark Green
School	Light Green	Light Green	Dark Green	Dark Green	Dark Green
Stadium	Light Green	Light Green	Light Green	Light Green	Dark Green
Restaurant/Pub	Light Green	Light Green	Light Green	Light Green	Dark Green
Manufacturing (non-essential)	Light Green	Light Green	Light Green	Light Green	Dark Green
Commercial Office Block	Light Green	Light Green	Light Green	Light Green	Dark Green
Retail	Light Green	Light Green	Light Green	Light Green	Dark Green
Museum	Light Green	Light Green	Light Green	Light Green	Dark Green
Tourist Attraction	Light Green	Light Green	Light Green	Light Green	Dark Green



TOWN/CITY MAP

**COLOUR KEY:**



NOT FUNCTIONAL

FULLY FUNCTIONAL



# Risk Tolerance

# Risk Tolerance

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## COMMUNITIES WITH **LOWER** TOLERANCE FOR RISK

LOW hazard zone

Geographically  
ISOLATED

HIGH density built  
environment

LOW recovery capacity



## COMMUNITIES WITH **HIGHER** TOLERANCE FOR RISK

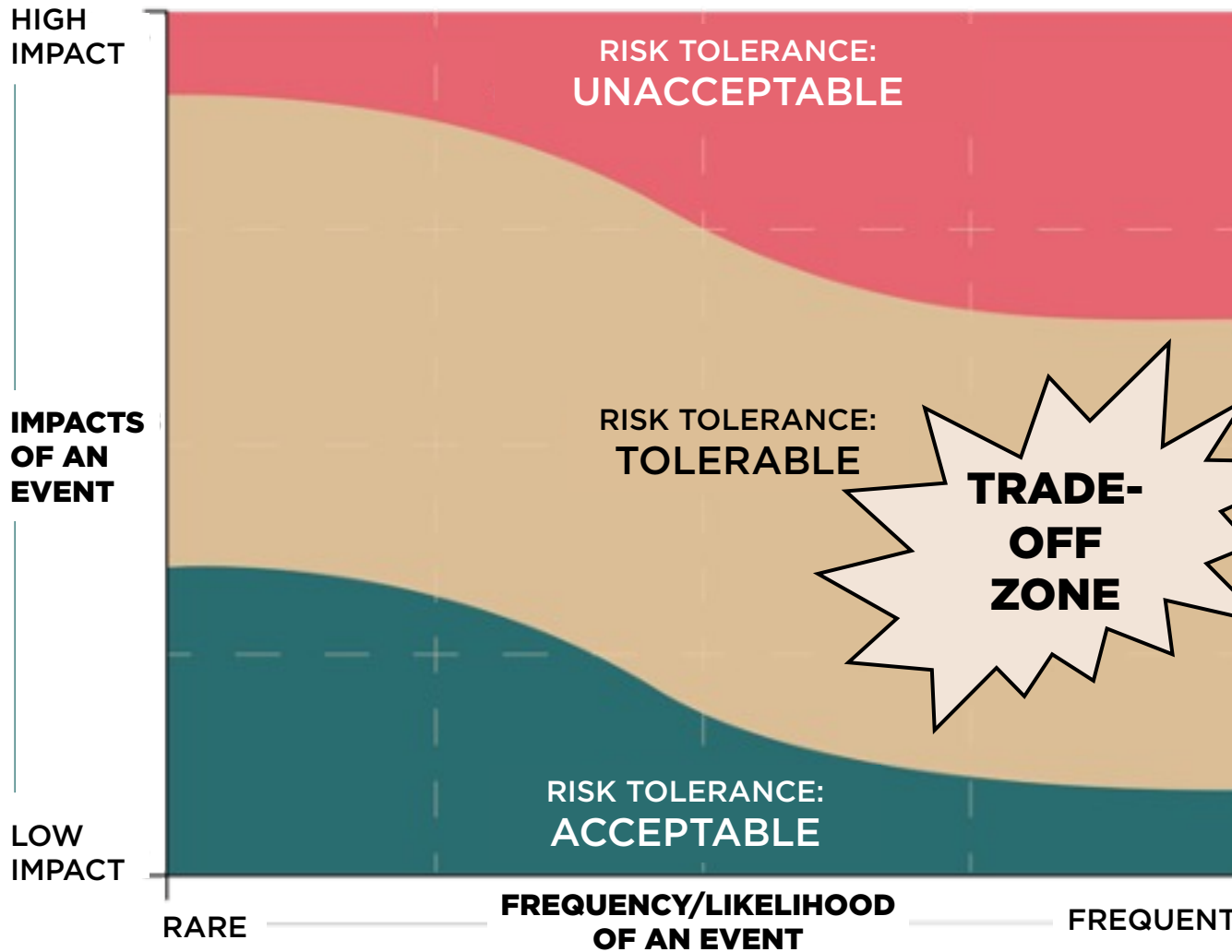
HIGH hazard zone

NOT geographically  
ISOLATED

LOW density built  
environment

HIGH recovery capacity

# Risk Tolerance



## Risk Tolerance: Unacceptable Multi-Generational Impacts

Social or environmental impacts that undermine the social fabric of a community, e.g. loss of trust in governance, environment degradation.

## Risk Tolerance: Tolerable Trade-Off Zone

Tolerance depends on cost to community today and opportunities for co-benefits.

Tolerance varies through space and time.

## Risk Tolerance: Acceptable Normal Maintenance

Low levels of damage that do not disrupt regular building use.

# Tolerable Risks: Managing trade-offs

## INCENTIVES



Long-term perspective



Return on investment



Buoyant rental and real estate market



Perception of safety



Tight insurance market



Co-benefits



Government regulations or incentives



Reduced down time and rebuild cost

## HINDERANCES



Competing priorities



Supressed rental and real estate market



Perception of cost



Concern over where costs fall



Insurance availability



Assumed government support post-event



Pooled risk across business operations



Neighbourhood effects



Infrastructure damage



Lack of trust in engineering and construction sector



# Seismic resilience in context

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## SAFETY

Fire safety  
Safety day to day (☞ *Wellbeing*)  
Earthquake life safety



## WELLBEING

Acoustics  
Lighting  
Temperature  
Access to amenities  
Indoor air quality (☞ *Safety*)  
Accessibility (☞ *Functionality*)



## FUNCTIONALITY

Usability  
Accessibility (☞ *Wellbeing*)  
Adaptability (☞ *Longevity*)



## LONGEVITY

Durability (☞ *Sustainability*)  
Adaptability (☞ *Functionality*)  
Repairability



## ENVIRONMENTAL SUSTAINABILITY

Embodied carbon  
Operational carbon  
Material choice  
Durability (☞ *Longevity*)



## AESTHETIC AND CULTURAL VALUE

Architectural design  
(☞ *Functionality and Wellbeing*)  
Heritage (☞ *Longevity*)



## COST

Capital cost  
Whole of life cost  
(☞ *Sustainability and Longevity*)  
Return on investment



# Reflections for Critical Infrastructure

- Method - some transferrable elements
- Findings are generally transferrable but specifics for infrastructure would be useful, especially around recovery timelines
- More nuance around risk tolerance, specific to infrastructure disruption may be useful (e.g. is there anything beyond hazard zone, geographic isolation, density built environment and recovery capacity?)
- Incentives and disincentives for resilience investment may be different due to different ownership structures and financing of infrastructure?
- Interesting to explore infrastructure resilience relative to other built environment priorities - tension between sustainability / community amenity

# Link to report and policy brief

<https://www.resorgs.org.nz/our-projects/risk-and-resilience-decision-making/nzsee-resilient-buildings-project/>

# Questions + Discussions