

# Adjoint source using cross-correlation between observed and synthetic seismograms

The cross-correlation traveltime shift and relative amplitude anomaly can be generalized to frequency-dependent quantities that completely describe the discrepancies between the target and synthetic waveforms.

Chen et. al 2007 presume the seismogram observed on the  $i$ th component of the  $r$ th receiver from the  $s$ th seismic source can be approximated by an instrument-filtered wavefield  $u_i^s(x_r, t)$  calculated from an unknown (target) earth model  $m$ . The quality of the approximation will depend on the ambient seismic noise as well as inadequacies in the way  $m$  represents the actual Earth (signal-generated noise). For each seismogram, we consider a finite set of data functionals, indexed by  $n$ , that measure the misfit between  $u_i^s(x_r, t)$  and the wavefield  $\tilde{u}_i^s(x_r, t)$  synthesized from the starting model  $\tilde{m}$ :

$$d_{in}^{sr} = D_n[u_i^s(x_r, t), \tilde{u}_i^s(x_r, t)].$$

The target wave group on the observed instrument-filtered displacement is denoted as  $f(t)$ . The isolation filter  $\tilde{f}(t)$ , is synthesized to model the target displacement as well as any instrument filtering. The autocorrelation of **the isolation filter** is an even function of time and the cross-correlation between the isolation filter and the target wave group  $f(t)$ :

$$\begin{aligned}\tilde{C}_{ff}(t) &= \tilde{f}(t) \otimes \tilde{f}(t). \\ C_{ff}(t) &= \tilde{f}(t) \otimes f(t).\end{aligned}$$

Following Gee Jordan (1992), we first apply a **Gaussian time window** of the form:

$$W(t) = \exp\left[-\frac{\sigma_\omega^2}{2}(t - t_c)^2\right].$$

onto both correlograms to reduce the contributions of interfering wave groups to the observations.

For the symmetric autocorrelation, we set  $t_c$ . In general the cross-correlation is not symmetric and to minimize the signal distortion by windowing we usually centre the time window at the peak of the cross-correlogram. The windowed correlograms are denoted as  $W\tilde{C}_{ff}$  and  $WC_{ff}$ .

The next operation is the narrowband filtering which localizes the windowed correlograms in the frequency domain. We consider **Gaussian narrow band filter** of the form:

$$F_i(\omega) = \exp\left[-\frac{(\omega - \omega_i)^2}{2\sigma_i^2}\right] + \exp\left[-\frac{(\omega + \omega_i)^2}{2\sigma_i^2}\right].$$

where the index  $i$  specifies a filter  $F_i$  with half-bandwidth  $\sigma_i$  and centre frequency  $\omega_i$ .

$$F_i(\omega) = \exp\left[-\frac{(\omega - \omega_i)^2}{2\sigma_i^2}\right] + \exp\left[-\frac{(\omega + \omega_i)^2}{2\sigma_i^2}\right].$$

We obtain the approximation of the windowed and narrowband filtered correlograms in time domain:

$$FW\tilde{C}_{ff} \approx \tilde{g}(t) = \tilde{A} \exp\left[-\frac{\tilde{\sigma}_s^2(t - \tilde{t}_g)}{2}\right] \cos[\tilde{\omega}_s(t - \tilde{t}_p)].$$

$$FWC_{ff} \approx g(t) = A \exp\left[-\frac{\sigma_s^2(t - t_g)}{2}\right] \cos[\omega_s(t - t_p)].$$

And the GSDF measurements of phase-delay time and amplitude reduction time associated with filter  $F_i$  are determined as (Gee Jordan 1992)

$$\delta t_p = t_p - \tilde{t}_p$$

$$\delta t_q = -\frac{\ln(A/\tilde{A})}{\tilde{\omega}_s}.$$

**In frequency domain**, the autocorrelation of the isolation filter can be expressed as:

$$\tilde{C}_{ff}(\omega) = \tilde{f}^*(\omega)\tilde{f}(\omega).$$

and the observed waveform can be expressed as a perturbation from the isolation filter as

$$f(\omega) = \tilde{f}(\omega) + \delta f(\omega).$$

where  $\delta f(\omega)$  is the instrument-filtered displacement perturbation, which means the frequency-domain displacement m perturbation  $\delta u_i^s(x_r, \omega)$ , multiplied with the instrument response.

Thus the cross-correlation  $C_{ff}(\omega)$  can be expressed as:

$$C_{ff}(\omega)(\omega) = \tilde{f}^*(\omega)f(\omega) = \tilde{C}_{ff}(\omega) + \tilde{f}^*(\omega)\delta f(\omega).$$

In the frequency domain, the synthetic can be mapped into the target by an **exponential (Rytov) operator**

$$f(\omega) = \tilde{f}^*(\omega) \exp i\omega[\delta\tau_p(\omega) + i\delta\tau_q(\omega)].$$

where the generalized seismological data functionals (GSDFs) are observational approximations to the phase-delay times  $\delta\tau_p(\omega)$  and amplitude-reduction times  $\delta\tau_q(\omega)$ , obtained by time-windowing and narrow-band filtering of the waveform cross-correlogram (Gee Jordan 1992).

The exact Frchet derivative of  $\delta_{p,q}$  with respect to the waveform  $f(\omega)$  can therefore be obtained as:

$$\begin{aligned} \delta\tau_p(\omega) &= \frac{1}{\omega} \Im \left[ \frac{\tilde{f}^*(\omega)}{C_{ff}(\omega)(\omega)} \delta f(\omega) \right]. \\ \delta\tau_q(\omega) &= \frac{1}{\omega} \Re \left[ \frac{\tilde{f}^*(\omega)}{C_{ff}(\omega)(\omega)} \delta f(\omega) \right]. \end{aligned}$$

Bring into the continuous forms, transform  $\delta f$  into the time domain and define a function  $I(t)$  as

$$\begin{aligned} I(t) &= \int_{-\infty}^{\infty} d\omega \exp(i\omega t) \left\{ H(\omega) \frac{\sigma'_i}{\sigma_w A} \exp\left[\frac{-(\omega-\omega_i)^2}{2(\sigma_w^2+\sigma_i^2)}\right] \frac{\tilde{f}^*(\omega)}{\omega'} \right\} \\ &+ \int_{-\infty}^{\infty} d\omega \exp(i\omega t) \left\{ H(\omega) \frac{\sigma'_i}{\sigma_w A} \exp\left[\frac{-(\omega+\omega_i)^2}{2(\sigma_w^2+\sigma_i^2)}\right] \frac{\tilde{f}^*(\omega)}{\omega''} \right\}. \end{aligned}$$

where  $H(\omega)$  is **the Heaviside function** and  $\omega' = \frac{\sigma_i^2\omega + \sigma_w^2\omega_i}{\sigma_i^2 + \sigma_w^2}$ ,  $\omega'' = \frac{\sigma_i^2\omega - \sigma_w^2\omega_i}{\sigma_i^2 + \sigma_w^2}$

Finally, the exact Fréchet derivatives of the observables  $\delta t_{p,q}$  with respect to the time-domain waveform  $f(t)$  can be expressed as

$$\delta t_{p,q} = \int dt J_x(t) \delta f(t),$$

where **the seismogram perturbation kernel**  $J_x(t)$  is given by  $J_p(t) = \Im[I(t)]$ ,  $J_q(t) = \Re[I(t)]$ .