

1 **Assessment of the Historic Seismic Performance of the New Zealand**

2 **Highway Bridge Stock**

3 Shong Wai Lew, Liam Wotherspoon, Lucas Hogan, Moustafa Al-Ani, Pavan Chigullapally, Vinod
4 Sadashiva

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6 This paper presents the assessment of historic seismic bridge performance of the New
7 Zealand highway bridge stock from the 1968 Inangahua earthquake through to the 2016
8 Kaikōura earthquake. Spatial ground motion details based on recorded and observed
9 ground motion intensities were used to estimate the peak ground acceleration (PGA), as
10 a measure of the seismic demand at each bridge location. Across all events, a PGA of
11 0.05g or higher was experienced on over 800 occasions across bridge sites. Damage
12 characteristics were collated from available literature, with the majority of the highway
13 bridges experiencing either no damage or only minor damage across all the events. At
14 PGAs greater than 0.5g the number of bridges with moderate and major damage was still
15 relatively small. There was also no clear differences between the performance of bridges
16 across the different design eras, despite the varied design and construction practices.
17 Some shorter bridges may have performed better than expected due to the effect of
18 abutment stiffness and damping, while some longer bridges may have performed well
19 due to travelling wave effects. These findings will inform future assessment methods and
20 design, and the accuracy of analytical modelling of the bridge stock.

21 **Keywords:** bridges, seismic performance, seismic assessment, fragility, New Zealand,
22 historic earthquakes, network vulnerability

23

24 **1 Introduction**

25 New Zealand is a seismically active country, and as such, the effects of earthquakes on
26 infrastructure can be significant. New Zealand transportation networks have little or no
27 redundancy throughout the country. With the reliance on transport networks for essential
28 services such as fast-moving consumer good delivery, it is critical to ensure the network
29 remains functional after a seismic event (Davies et al., 2017). Bridges are a key part of the road
30 network, and yet there are currently many unknowns related to the actual seismic response of
31 the bridges across New Zealand and internationally. Having a good understanding of bridge
32 performance during earthquakes is essential to practising engineers, authorities managing the
33 bridges, and decision-makers who need to make important retrofit priority and post-earthquake

34 serviceability decisions to ensure the usability of the bridges and safety of the public. The
35 objective of this study is to assess the performance of highway bridges in historic earthquakes
36 in New Zealand and to develop a dataset that can be used to test the applicability of bridge
37 assessment methods and analytical modelling approaches.

38 The performance of bridges in recent earthquakes has been reported in a number of
39 studies, including events in Japan (Bruneau, 1998; Watanabe et al., 1998), Taiwan (Chang et
40 al., 2000), the United States (Basöz & Kiremidjian, 1998; Basöz et al., 1999; Hwang et al.,
41 2000; Wang & Lee, 2009), Iran (Eshgi & Ahari, 2005), Peru (Taucer et al., 2009), China
42 (Wang & Lee, 2009), Italy (Kawashima et al., 2010), Chile (Schexnayder et al., 2014), and
43 New Zealand (Mason et al., 2017; Palermo et al. 2010; Palermo et al. 2011; Palermo et al.
44 2017; Wotherspoon et al., 2011). The potential performance of bridges in future earthquakes
45 has commonly been characterized through the use of fragility functions (Billah & Alam, 2015;
46 Pan et al., 2010; Sung et al., 2013; Tavares et al., 2012).

47 These fragility functions can broadly be categorized as those that were developed based
48 upon observed seismic damage (empirical), and those that were developed primarily through
49 analytical models and simulation (analytical). Fragility functions developed based upon
50 observed damage data include those for bridges in Japan and Greece. Fragility curves based on
51 data from the 1995 Kobe earthquake were constructed by using empirical methods, with
52 variation of input ground motions and structural parameters (Karim & Yamazaki, 2003;
53 Yamazaki et al., 2000). In Greece, analytical approaches were initially used, before being
54 calibrated against empirical curves based on damage data from the US and Japan (Basöz et al.,
55 1999), due to the absence of corresponding data from European earthquakes (Moschonas et al.,
56 2009). A wider range of studies have developed analytical fragility curves that were not able
57 to make use of case history data to validate their models. Examples include studies based on
58 large datasets of bridges from Korea (Lee et al., 2007), Italy (Borzi et al., 2015) and the United
59 States (Gidaris et al., 2017).

60 It is clear from past research that the majority of methods used for assessment of the
61 seismic performance of bridge stocks internationally have not been validated against case
62 history performance. This paper aims to collate case histories of the performance of the bridges
63 on the New Zealand State Highway network from the 1968 Inangahua earthquake through to
64 the 2016 Kaikōura earthquake. The general sense from the research and practising engineering
65 community was that most bridges had performed better than expected in these recent

66 earthquakes in New Zealand, and there is a desire to know if the observed performance was in
67 line with the expected risk profile of the bridge stock (Wood et. al., 2017). In order to
68 investigate the expected performance of the bridge stock, the development of the seismic bridge
69 design philosophy in New Zealand and the characteristics of highway bridge stock is first
70 presented. Then, the method for estimating the seismic demand in past earthquakes at each
71 bridge site and the collation of evidence of bridge damage during each event is discussed. As
72 the New Zealand State Highway bridge stock could be different from other parts of the world,
73 especially in terms of typologies and design standards, the applicability of the internationally
74 developed fragility functions in New Zealand is also examined and discussed. Lastly,
75 performance in past events was compared against estimated performance based on national
76 scale high-level seismic screening of the bridge stock.

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78 **2 Development of Seismic Bridge Design in New Zealand**

79 For most of the twentieth century, bridge design and construction in New Zealand was
80 controlled by a single centralised organization operating under the various names of the Public
81 Works Department (PWD), Ministry of Works (MoW), or Ministry of Works and Development
82 (MWD), until it was privatised in 1988, resulting in the majority of the bridge stock in New
83 Zealand being designed and built by a single entity. The New Zealand Transport Agency (NZ
84 Transport Agency) currently manages the operation of the State Highway network. Seismic
85 bridge design standards have been developing along with the changes in the organizations
86 controlling the design and construction. These standards, published by NZ Transport Agency
87 and its preceding organizations, defined the requirements for traffic, wind, flood, temperature
88 and seismic loading. Requirements for member design and detailing of various materials were
89 either described or referenced to the appropriate material Standard. The change in design
90 standards can be described in two aspects: seismic loading and detailing requirements. Based
91 on these changes, the development of bridge design standards in New Zealand can be classified
92 into six eras as shown in Table 1 (Hogan et al., 2013).

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Table 1. Development of Bridge Design Standards in New Zealand (after Hogan et al. 2013)

Era	Years	Standards	Number of Bridges	% of total stock
1	pre-1930s	No Seismic Standards	212	7.8%
2	1930s to mid-1960s	Early Seismic Standards	1338	49.5%
3	mid-1960s to mid-1970s	Preliminary Ductile Standards	368	13.6%
4	mid-1970s to late 1980s	Early Ductile Standards	293	10.8%
5	late-1980s to early-2000s	Basis of Current Standards	185	6.8%
6	early-2000s to – Present	Current Standards	305	11.3%

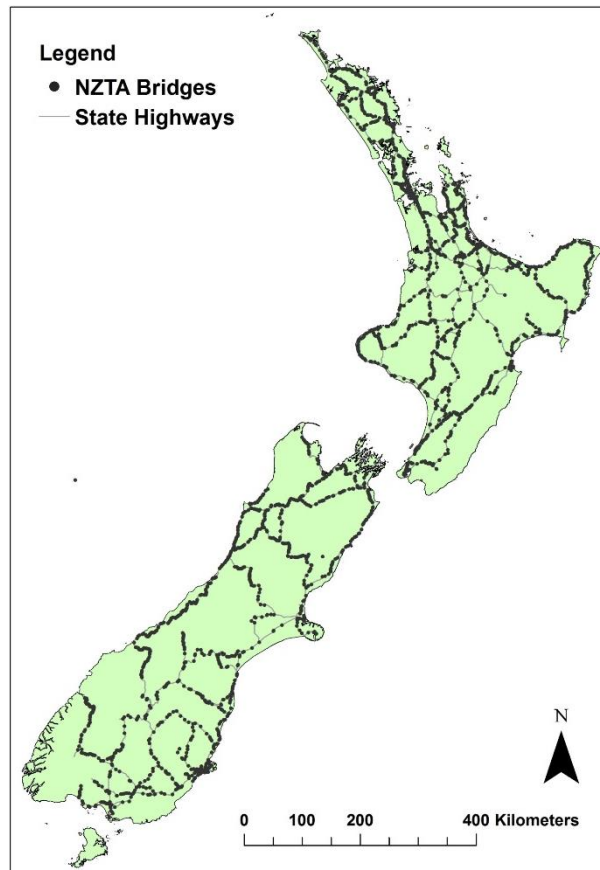
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102 Era 1 refers to the period where no seismic provisions were in place in New Zealand,
103 and bridges built during this era are assumed to have no specific design or detailing for seismic
104 actions. In Era 2, early seismic standards and elastic design were introduced. Working stress
105 method was employed in this era where design stresses were to be kept below allowable stress
106 defined for a given failure mode. Bridges were required to be designed to resist a lateral force
107 of 0.1 times the weight of the superstructure, with no variation to account for ground conditions
108 or seismic hazard. Some initial detailing requirements were introduced during this era.
109 Preliminary ductile standards were introduced in Era 3, along with preliminary guidelines on
110 capacity-based design. Based on the improved understanding of seismic hazard, three seismic
111 zones were defined and seismic coefficients dependent upon fundamental period of the bridge
112 were introduced. In Era 4, the use of capacity-based design principles became the standard
113 approach. Era 5 forms the basis of current design standards. The design spectra were converted
114 from a single inelastic spectrum for each zone with an assumed ductility of six, to an inelastic
115 design spectrum for each level of ductility. Performance criteria, seismic detailing, and clauses
116 relating to liquefaction and lateral spreading were introduced. Additionally, site classification
117 to account for different soil sites were introduced. In Era 6 subsoil conditions were further
118 developed to three and five classes in 2003 and 2004, respectively (Hogan et al., 2013). The
119 development of these standards has governed the seismic design of bridges within each era and
120 may affect their performance in historic earthquakes. Therefore, these eras will be referred to
121 throughout the paper in relation to this historic performance.

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123 **3 Overview of the New Zealand Highway Bridge Stock**

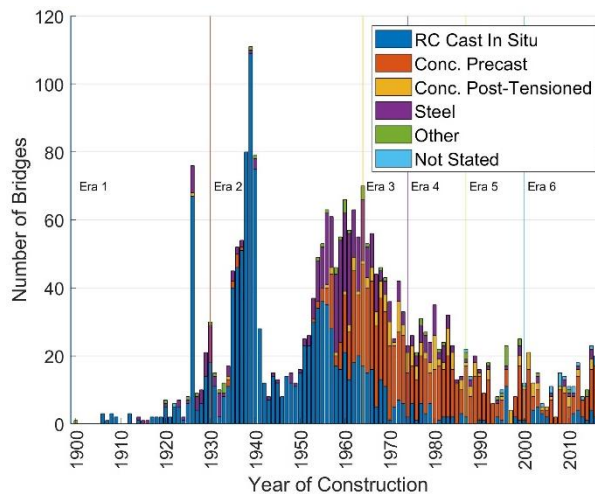
124 There are approximately 2700 highway bridges on the State Highway network in New Zealand
125 that are managed by the NZ Transport Agency. Figure 1 shows the distribution of these bridges
126 across New Zealand as of November 2017 (New Zealand Transport Agency, 2017).



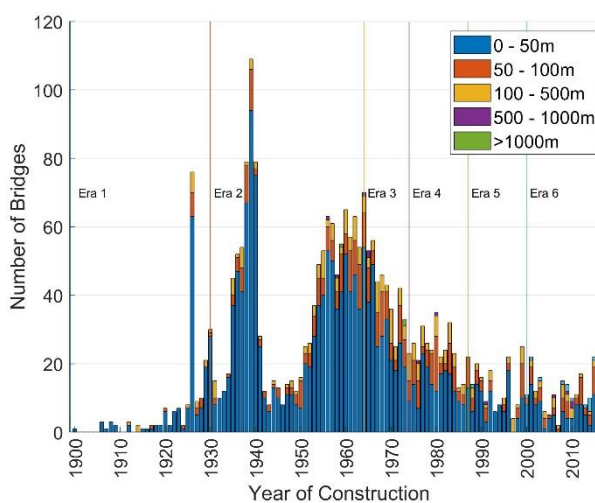
127
128 **Figure 1. Map of New Zealand with overview of the State Highways and bridge stock**

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130 Figure 2 summarises the distribution of superstructure types for State Highway bridges
131 built after 1900. Cast-in-situ concrete bridges were by far the most common superstructure
132 construction method before the mid-1950's, which was linked to integral bridge construction.
133 The use of precast concrete superstructures started to become popular after the mid-1950's.
134 Precast concrete superstructures in this study include pre-tensioned superstructures, and the
135 increase in the use of precast concrete is due to the advent of pre-stressing in the 1950's.
136 Figure 3 shows the distribution of bridge length for State Highway Bridges built after 1900.
137 Bridges up to 50 m in length are by far the most common in the bridge stock. Approximately
138 40% of the bridge stock is single span, with more than two-thirds of the bridge stock having
139 three spans or less. Figure 4 shows the distribution of bridge foundation for State Highway

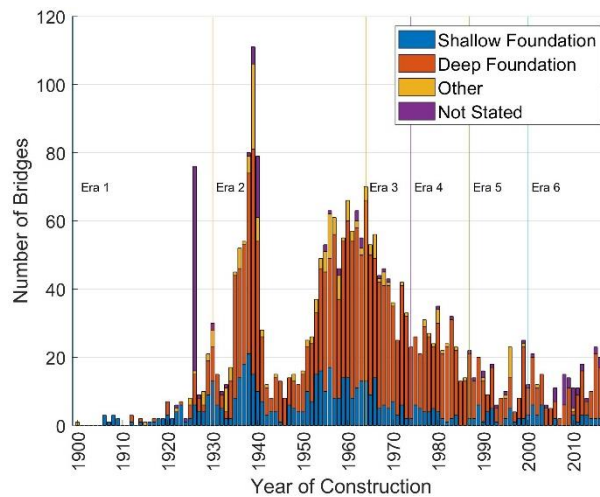
140 Bridges built after 1900. Deep foundations are the most commonly used pile type due to over
 141 80% of State Highway Bridges crossing some form of waterway. These deep foundations
 142 include driven concrete piles, which include precast piles with either mild steel or pre-stressed
 143 reinforcement, and driven steel casings with concrete infill. As compared to deep foundations,
 144 shallow foundations were used minimally, mostly for single span bridges, to reduce foundation
 145 costs (Hogan, 2014). Figure 5 shows the distribution of bridges based on pier type. Most of the
 146 bridges in the bridge stock have no piers, having only abutments, as most of the bridge stock
 147 consist of single span bridges. For bridges with piers, reinforced concrete walls represent by
 148 far the most common pier type in the bridge stock. Based on these construction trends, a large
 149 number of bridges in New Zealand are similar and regular in form, dominated by cast-in-situ
 150 concrete bridges, with driven concrete piles and having three spans or less. Further analysis of
 151 these datasets are presented in Hogan (2014).



152
 153 **Figure 2. Distribution of superstructure types for State Highway bridges built after 1900**



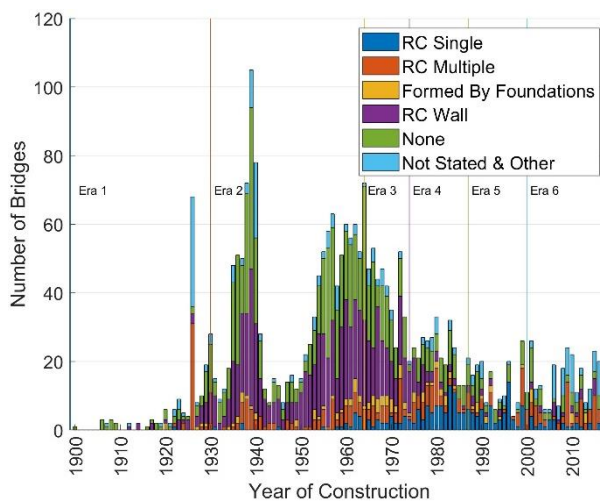
154
 155 **Figure 3. Distribution of the lengths of State Highway bridges built after 1900**



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Figure 4. Distribution of foundation types for State Highway bridges built after 1900



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Figure 5. Distribution of pier types for State Highway bridges built after 1900

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161 **4 Bridge Stock Assessment Methodology**

162 The performance of bridges has been assessed and reported after major historic earthquakes in
 163 New Zealand, however there has not been a systematic collation of bridge seismic demand and
 164 performance across these earthquakes and in other recent earthquakes in New Zealand. In order
 165 to assess the historic seismic performance of the bridge stock across a range of earthquakes,
 166 three main steps were undertaken. First, to characterise the seismic demand at each bridge
 167 location, the peak ground acceleration (PGA) was estimated through geostatistical
 168 interpolation of recorded and felt data. Next, the bridge damage characteristics were defined
 169 using available literature and were classified into damage severities related to structural and
 170 geotechnical damage. Lastly, the estimated performance of each bridge based on a national
 171 scale high-level seismic assessment of the bridge stock was collated. Comparisons were made
 172 across these datasets to identify variables that affected the performance across the bridge stock.

173

174 **4.1 Historic Seismic Demand at Bridge Sites**

175 In this study, the focus was on the performance of the bridge stock during earthquakes that
176 have occurred in the last 50 years in New Zealand. The earthquakes that were assessed are
177 summarised in Table 2, showing the distribution of event date and magnitude. The epicentre
178 of each main event is shown in Figure 7 in Section 5, where this dataset is discussed in more
179 detail.

180

181 **Table 2. Summary of notable damage-causing New Zealand earthquakes in the last 50**
182 **years**

No.	Earthquake	Date	Magnitude
1	Inangahua Earthquake	24 May 1968	M _w 7.2
2	Edgecumbe Earthquake	2 March 1987	M _w 6.5
3	Ormond Earthquake	10 August 1993	M _w 6.4
4	Gisborne Earthquake	20 December 2007	M _w 6.6
5	Darfield Earthquake	4 September 2010	M _w 7.0
6	Christchurch Earthquake	22 February 2011	M _w 6.1
7	Cook Strait Earthquake	21 July 2013	M _w 6.5
8	Lake Grassmere Earthquake	16 August 2013	M _w 6.5
9	Eketahuna Earthquake	20 January 2014	M _w 6.1
10	Kaikōura Earthquake	14 November 2016	M _w 7.8

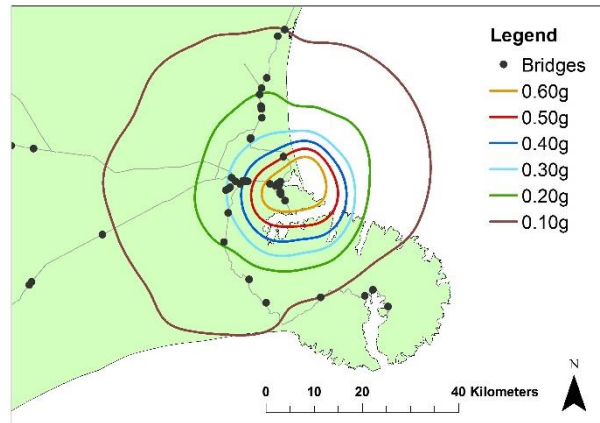
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184 The ground motion intensity at each bridge location for these ten earthquakes was
185 defined using PGA contours from the United States Geological Survey (USGS) earthquake
186 catalogue (United States Geological Survey, 2017). The PGA contours were defined from a
187 combination of recorded ground motions and estimated shaking intensity from felt reports
188 (Worden & Wald, 2016). The PGA at each bridge location, termed event PGA in this research,
189 was approximated using the Empirical Bayesian Kriging interpolation, a geostatistical analyst
190 tool in ArcGIS (ESRI, 2017).

191

192 An example of the PGA contours for the 2011 Christchurch earthquake in relation to
193 the bridge locations is presented in Figure 6. This approach is not able to fully account for
194 variation in ground motion intensity due to the soil profile at the location of each bridge, but
195 for this high level bridge stock assessment, this approach was deemed acceptable. Most bridges
196 are short in length (less than 50 m) with less than three spans and as such have short periods
197 that can be approximated by PGA. Assessment using other intensity measures, such as spectral
acceleration at different periods of vibration and ground motion duration, would require a level

198 of detail, such as pier height, foundation layout, and geotechnical conditions at each bridge,
199 that is not currently readily available in the New Zealand Highway Structures Information
200 Management System. However, in the future, intensities could be further refined using
201 improved regional velocity models and specific ground motion simulations for each event.



202

203 **Figure 6. PGA contours of the 2011 Christchurch earthquake and bridge locations**

204

205 ***4.2 Historic Bridge Damage Classification***

206 Bridge damage from historic earthquakes was defined based on details collated from post-event
207 reconnaissance reports, commissioned reports, and journal articles (Chapman, 1993; Palermo
208 et. al., 2010; Palermo et. al., 2011; Palermo et. al., 2017; Pender & Robertson, 1987; Shepherd
209 et. al., 1970; Wood et. al., 2012; Wood & McHaffie, 2017). The level of detail describing the
210 observed bridge damage from these sources varies according to the age of the earthquake, with
211 information from recent earthquakes being more detailed than that from older earthquakes.
212 Damage descriptions were mostly qualitative, and relate to either structural and/or geotechnical
213 damage, with both types of damage observed at some bridges. Here structural damage refers
214 to damage caused by the inertial response of the bridges due to earthquake excitation, while
215 geotechnical damage refers to damage to the bridge, geotechnical structures (foundation,
216 abutment and wingwalls), and approaches due to permanent ground deformation. Due to the
217 differences in damage descriptions used across different reports, there were some uncertainties
218 in the classification, therefore a qualitative approach was used. The damage severity was
219 classified into three categories, none - minor, moderate, and major. The none-minor category
220 was used to represent bridges that had reported a low level of damage, as well as those bridges
221 that had no record of inspection, suggesting that any damage would not have been significant
222 and that this damage category was appropriate. Table 3 provides some examples of how
223 damage descriptions from different sources were translated into the severity classifications

224 used. Where the damage descriptions for a single bridge fell into more than one category, the
 225 most severe damage category was assigned to the bridge. The damage information and damage
 226 severities were collated in a database together with characteristics of the bridges discussed
 227 previously.

228

229 **Table 3. Damage Severity and Damage Descriptions**

Damage Severity	Damage Description	Examples of Structural Damage	Examples of Geotechnical Damage
None – Minor	Damage does not affect the structural integrity or bridge functionality	<ul style="list-style-type: none"> • No damage • Minor cracking of structural elements • Minor damage to expansion joints 	<ul style="list-style-type: none"> • No damage • Minor pavement cracking • Minor soil gapping and approach fill settlement
Moderate	Damage results in some loss of structural integrity, and/or limited reduction of functionality (e.g. speed restrictions)	<ul style="list-style-type: none"> • Minor displacement of the superstructure • Cracking and/or spalling at beams/piers • Exposure of reinforcement at beams/piers 	<ul style="list-style-type: none"> • Spalling, cracking, or displacement of geotechnical structures • Approach fill settlement affecting bridge function
Major	Damage results in loss of structural integrity and/or loss of functionality	<ul style="list-style-type: none"> • Severe damage at piers • Severe damage at beams • Twisting of deck • Separation of the deck from piers and abutment • Noticeable displacement of structural components • Superstructure shifted off bearings 	<ul style="list-style-type: none"> • Large settlement of approaches and geotechnical structures • Significant gapping or cracking of soil • Significant cracking and displacement of geotechnical structures

230

231 **4.3 Seismic Screening and Retrofit of Bridges in New Zealand**

232 Seismic screening was initiated in the late 1990's to assess the seismic performance of State
 233 Highway bridges across New Zealand (Chapman et al. 2000). All the bridges in the inventory
 234 were assessed using a preliminary screening procedure to define the priorities for carrying out
 235 detailed seismic assessments and to minimize the number of structures that would require more
 236 detailed assessment. It included the elimination of bridges that did not warrant further ranking
 237 because their size or form was assumed to provide inherent resistance to significant seismic

238 excitation levels such as culverts and single-span bridges with integral abutments. Detailed
239 seismic assessment using non-linear pushover analyses were then carried out on those bridges
240 that were not removed through the screening process (Novakov et al., 2017).

241 The preliminary screening procedure included estimating three main variables: hazard
242 index, importance (of the bridge) index and vulnerability index. The hazard index reflected the
243 seismicity at the bridge site and other hazards (e.g. risk of liquefaction) likely to affect the
244 bridge structure. The PGA that may potentially result in severe damage, termed screening PGA
245 herein, was estimated for each bridge. The estimation of this PGA was part of the risk
246 assessment procedure, where a risk event was described based on information gathered about
247 the seismic hazard at the bridge site and other hazards that affect the bridge structure. The
248 screening PGA for each bridge is an estimate based on the descriptive intensity of ground
249 shaking and general expected performance of each bridge. The assessment was dependant on
250 the experience and judgement of the assessors without any significant analytical work, as
251 preliminary screening was intended to define priorities for carrying out detailed seismic
252 assessments (Chapman et al., 2000). In cases where there was more than one PGA defined for
253 a bridge because of more than one risk event was defined, the highest PGA was used for
254 comparison in this research. This PGA estimate is used to help compare the expected bridge
255 response and the actual bridge response.

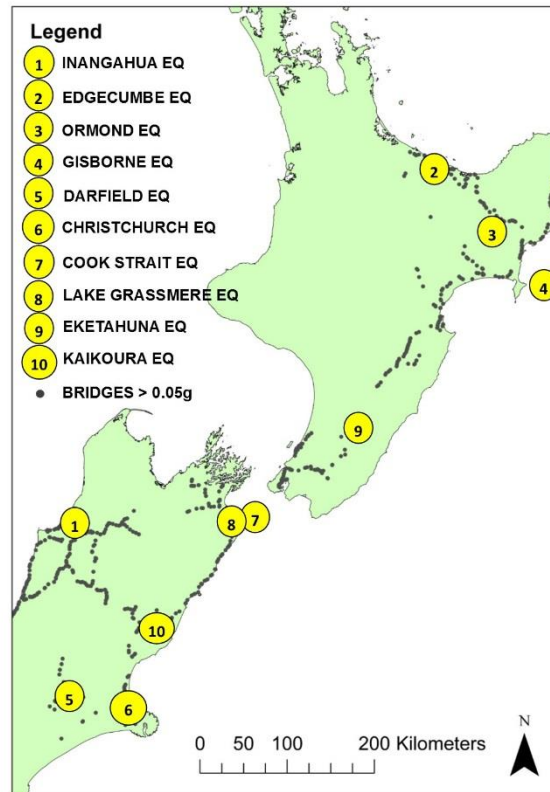
256 The main output of this screening procedure was a bridge ranking in order of priority
257 to justify detailed seismic assessment and subsequently, assessment to determine which bridges
258 should be retrofitted. Retrofitting was carried out on several bridges, with a large number of
259 these involving the installation of inter-span linkages on high priority routes.

260

261 **5 Results**

262 **5.1 Seismic Demand at Bridge Locations**

263 Figure 7 presents the locations of the bridges that experienced an event PGA of 0.05g or higher
264 and the epicentre location for each historic earthquake assessed. These bridges are mainly
265 distributed along the eastern to the southern part of the North Island and the northern part of
266 the South Island, which aligns with the regions with the highest seismic hazard across the
267 country based on the National Seismic Hazard Model (Stirling et al., 2012). An event PGA of
268 0.05g was exceeded 824 times across these earthquakes, with some bridges experiencing this
269 level of shaking in multiple events.

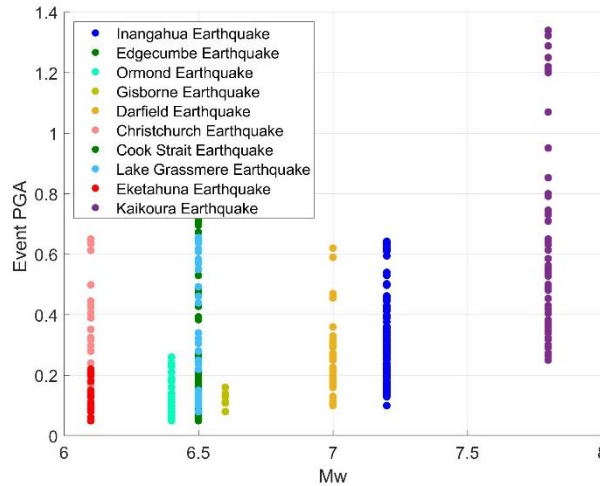


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271 **Figure 7. Epicentres of the ten historic earthquakes and locations of bridges with event**
 272 **PGAs higher than 0.05g**

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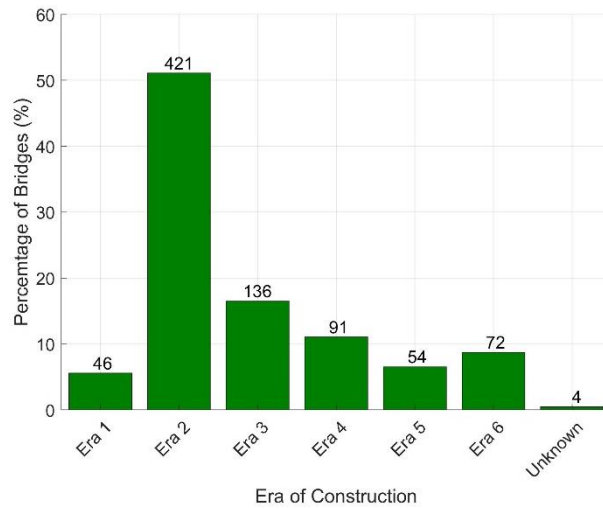
274 The distribution of the range of event PGA experienced by the bridges and the moment
 275 magnitude (M_w) of the respective earthquakes are summarised in Figure 8. The smallest range
 276 of event PGAs were experienced during the Gisborne earthquake, while the broadest range of
 277 event PGAs were experienced during the Kaikōura earthquake. The epicentre of Gisborne
 278 earthquake was offshore, about 50 km off the east coast of New Zealand’s North Island, hence
 279 the intensity of ground motions affecting the bridges close to the coast was relatively small.
 280 Bridges which were affected by the other earthquakes where the epicentres were located
 281 onshore typically experienced a broader range of ground motion intensities. Most of the bridges
 282 experienced relatively small event PGA, with approximately three quarters of bridges
 283 experienced a PGA of 0.05g or higher based on the era of construction. Figure 9 shows the distribution of bridges which
 284 experienced a PGA of 0.05g or higher based on the era of construction. Similar to the New
 285 Zealand State Highway Bridge Stock, more than half of the bridges in the collated dataset
 286 which experienced Event PGA higher than 0.05g were also constructed in Era 2, while Era 1
 287 consists of the least amount of bridges, accounting for only about 5% of the dataset. Due to the
 288 prominence of bridges built in Era 2 in the dataset, the performance of bridges in the dataset
 was normalized against the respective eras to prevent any skew in the analysis of the results.



289

290 **Figure 8. Moment Magnitude and Event PGA (of 0.05g or higher) at each bridge location**
 291 **across all events considered**

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294 **Figure 9. Distribution of Bridges in the Dataset Which Experienced Event PGA higher**
 295 **than 0.05g Based on Era of Original Construction**

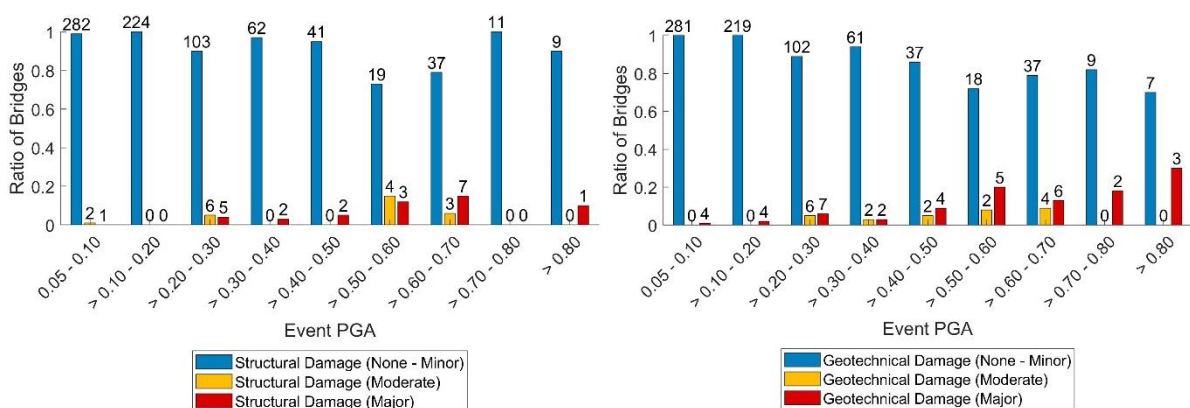
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297 **5.2 Seismic Demand and Damage Severity**

298 Figure 10 depicts the distribution of bridge damage severity (see Table 3) based on event PGA
 299 for both structural and geotechnical damage. For each event PGA range, data for each damage
 300 classification is presented as a ratio of the number of bridges in that classification and the total
 301 number of bridges in that PGA range. The actual number of bridges are presented at the top of
 302 each bar. As may have been expected, for structural damage, the number of bridges with none
 303 to minor damage is the highest at the lowest event PGA, between 0.05g to 0.10g. As event
 304 PGA increases, the number of bridges with none to minor damage decreases. The number of
 305 bridges with moderate and major damage is relatively small across all event PGAs as compared

306 to bridges with none to minor damage. Overall, this data suggests that the performance of
 307 bridges in terms of structural response is generally good across all events considered. Of the
 308 many types of major structural damage observed, spalling and cracking of piers is the most
 309 common form of damage. Other commonly observed damage types were broadly confined to
 310 the superstructure, such as separation of deck from piers, translation and rotation of the
 311 superstructure, as well as damage to the piers such as residual displacement, tilt and plastic
 312 hinging.

313 Similar to the bridges exhibiting structural damage, the number of bridges with none to
 314 minor geotechnical damage is the highest at the lowest event PGA, between 0.05g to 0.10g. As
 315 PGA increases, the number of bridges with none to minor damage decreases. The number of
 316 bridges with moderate and major damage is relatively small across all event PGAs as compared
 317 with bridges with none to minor damage. However, as PGA increases, there is a higher ratio of
 318 bridges with moderate and major geotechnical damage, with one third of bridges experiencing
 319 a PGA above 0.80g experiencing major damage. This data again suggests generally good
 320 performance of bridges in terms of geotechnical aspects, particularly as explicit design for
 321 liquefaction was not widespread until Design Era 5 in the late 1980's. There were no observed
 322 differences in geotechnical damage based upon abutment type, with a similar number of
 323 bridges damaged for both monolithic and seat-type abutments. Of the major geotechnical
 324 damage observed, approach settlement was the most common form of damage. Other
 325 commonly observed forms of damage were damage to the abutments, (lateral displacement, tilt
 326 and plastic hinging), damage to piles (spalling, cracking, and hinging), damage to approach
 327 embankments (settlement, pavement cracking, gapping) and damage to abutment wing-walls
 328 (residual displacement and cracking). The majority of this damage resulted from liquefaction-
 329 induced lateral spreading.



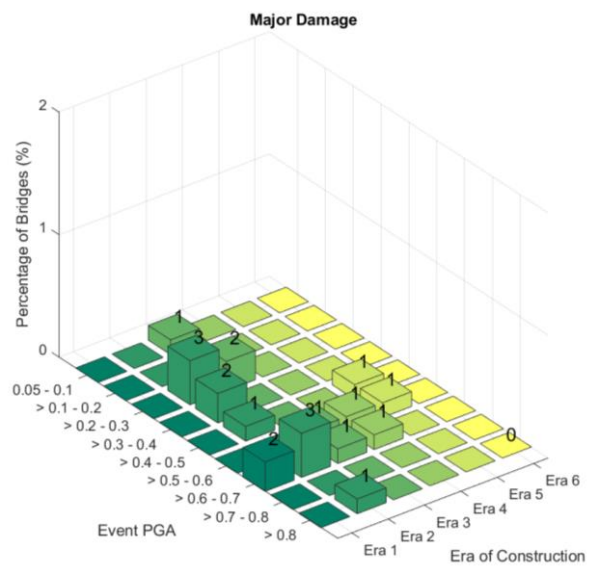
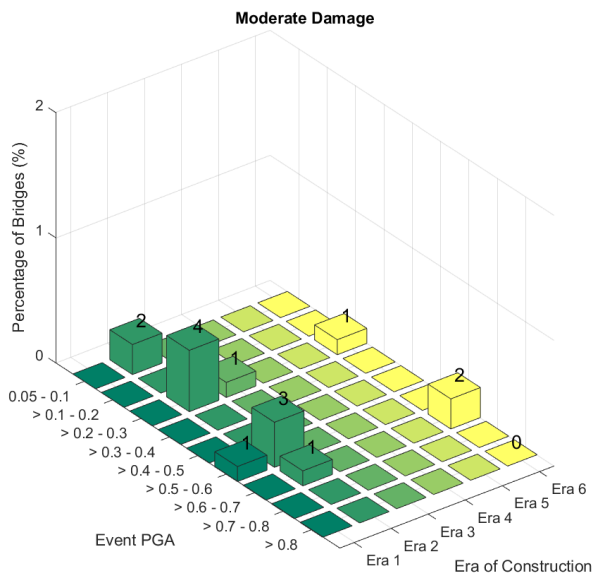
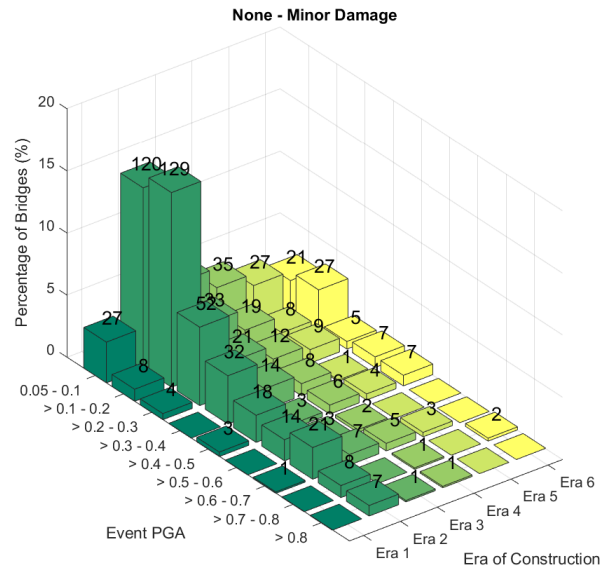
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 331 **Figure 10. Ratio of Bridge Damage Classification Based on Event PGA (a) Structural (b)**
 332 **Geotechnical**

333

334 Figure 11 shows the distribution of damage severity based on event PGA and the era of
335 original construction. In this figure the number of bridges experiencing a particular event PGA
336 band for each era is presented as a percentage of the total number of bridges in each
337 construction era that experienced an event PGA greater than 0.05g. This normalization is to
338 control for any skew in the presentation of the data as a result of the significant number of
339 bridges in the dataset that were constructed in Era 2. The actual number of bridges experiencing
340 a particular event PGA band is presented above each bar in the figure. The results are as
341 expected for none to minor damage, with a larger percentage of bridge at lower event PGA
342 levels across all eras, tapering off to fewer bridges at the higher event PGA levels. There are
343 no clear observed differences in these trends across the different eras despite the varied design
344 and construction practices. For moderate and major damage, there is evidence of damage to
345 bridges in Era 2 and Era 3 at relatively low event PGA levels ($<0.2g$), and no evidence of this
346 in other eras. However, the total count of these cases is also low, and as such these may not be
347 entirely representative. Retrofit details across the bridge stock were collated as part of this
348 research, however these were not discussed further here as they were shown to not play a
349 significant role in the performance of bridges in historic events (as few were exposed to
350 significant levels of shaking post-retrofit).

351 Figure 12 shows the distribution of damage severity based on event PGA and bridge
352 length. Here, the number of bridges experiencing a particular PGA band for each bridge length
353 band is presented as a percentage of the total number of bridges in each bridge length band that
354 experienced an event PGA greater than 0.05g, in order to normalize the large number of bridges
355 shorter than 50 m. The actual number of bridges in each category are presented above each bar
356 in the figure. Most of the bridges with none to minor damage have lengths less than 50 m
357 (65%). In the remainder of the data set, 21% of bridges have lengths between 50 – 100 m, 13%
358 between 100 – 500 m, and 1% above 500 m. For all categories, most bridges experienced low
359 event PGA levels, tapering off to fewer bridges at the higher PGA levels. The number of
360 bridges with moderate to major damage is comparable for bridge lengths of less than 50 m and
361 50 -100 m. There are slightly more bridges with moderate and major damage with bridge length
362 of 100 – 500 m, but the number of bridges in these cases is low, and is not as significant when
363 compared to the number of bridges in each band. As depicted in Figure 3, the number of bridges
364 with length above 500 m is relatively low when compared to other lengths. The bridges in this
365 category only experienced event PGA of less than 0.4g, and none of them exhibited moderate
366 or major damage. Although nothing definitive can be taken from these trends, there is possibly

367 some suggestion that length affected performance. This possibility is discussed in more detail
 368 in subsequent sections.



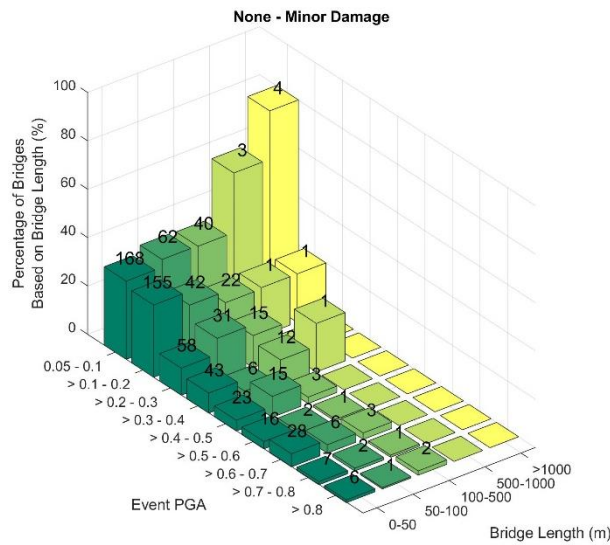
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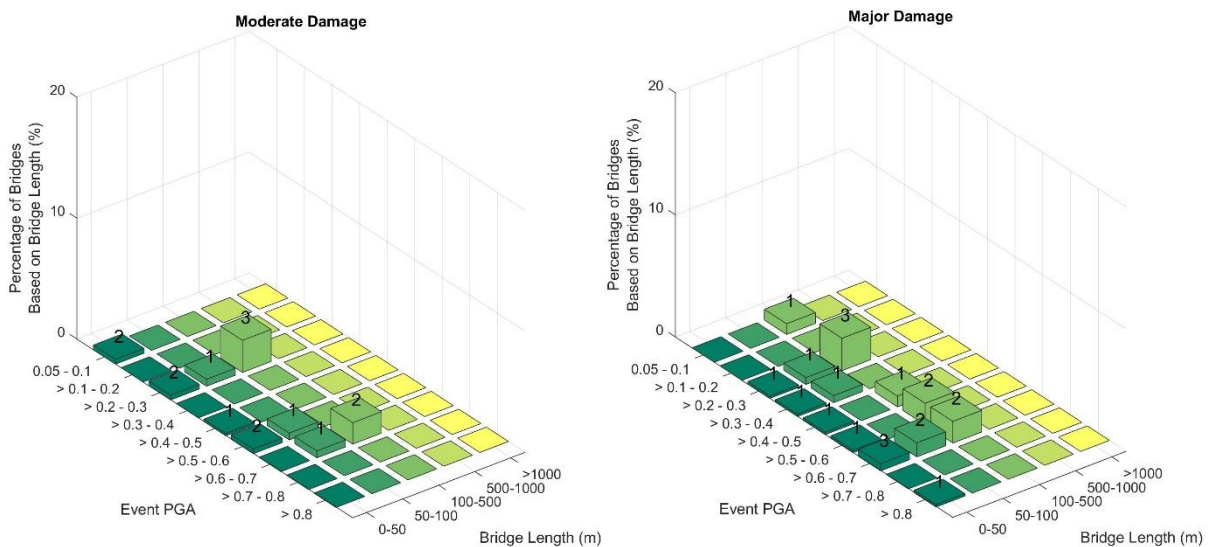
372 **Figure 11. Distribution of Structural Damage for Full Dataset Based on Event PGA (of**
 373 **0.05g or higher) and Era of Original Construction**

374

375



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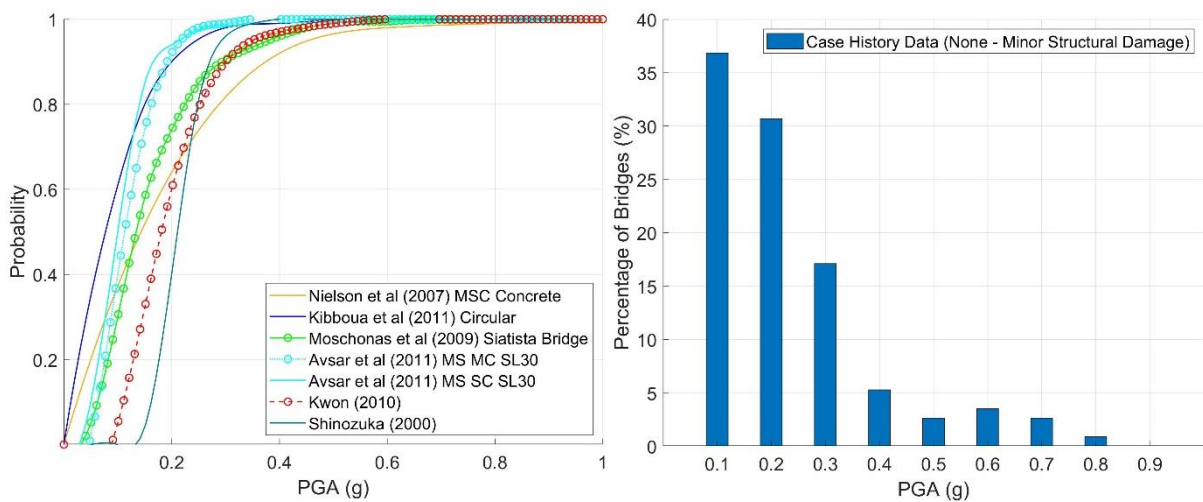
377 **Figure 12. Distribution of Structural Damage for Full Dataset Based on Event PGA (of**
378 **0.05g or higher) & Bridge Length**

379

380 A low percentage of bridges built in Era 6 experienced major damage across all events.
381 While the use of ductile detailing standards would have helped with post-yield performance of
382 Era 6 bridges, it should also be noted that Era 6 bridges were designed using a much higher
383 return period than previous eras, resulting in significant strength and stiffness increase. In areas
384 of medium seismicity such as Napier, bridges with short periods (0.5 s) built before 1987 were
385 designed for about one third to two thirds the base shear of Era 6 (Hogan et al., 2013). While
386 it is likely that the larger design base shear would have contributed to the lower incidence of
387 damage observed in Era 6 bridges, the limited number of Era 6 bridges exposed to strong
388 shaking was limited, and a larger dataset would be needed to confirm performance
389 characteristics.

390 The case history data has been compared with examples of fragility functions developed
 391 in other international studies for bridge characteristics that could be representative of some
 392 portion of the New Zealand bridge stock. It includes 2 to 4-span bridges with concrete or steel
 393 superstructures and either single column piers, multi-column piers or wall piers. The level of
 394 detail of the characteristics of the bridge varies across different references. Figure 13a
 395 summarises the fragility functions for slight damage and Figure 13b summarises the number
 396 of bridges with none to minor structural damage based on event PGA from the New Zealand
 397 case history data. The fragility curves reach a probability of 1.0 at approximately 0.30g,
 398 however there are a number of bridges that experienced an event PGA higher than 0.30g in the
 399 New Zealand dataset, which would have exceeded the performance of some of the fragility
 400 curves. This comparison demonstrates that the performance of New Zealand bridges are not
 401 well captured by these studies, and suggest that the development of fragility curves for New
 402 Zealand typology bridges would be useful.

403



404

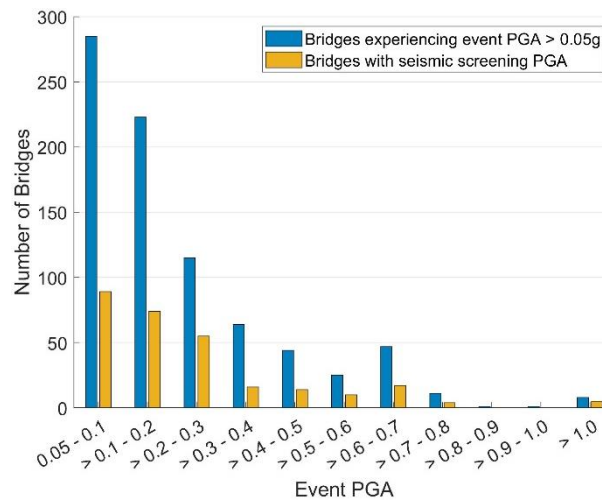
405 **Figure 13. (a) Internationally Developed Fragility Functions for Bridges (Slight Damage)**
 406 **(b) Distribution of Case History Data (None – Minor Structural Damage)**

407

408 *5.3 Comparison with Seismic Screening*

409 To further interrogate the historic performance summarised in the previous section, the event
 410 PGA and screening PGA characteristics were compared. Only structural damage is discussed,
 411 as it was the main focus of the screening process. Of the 824 bridges experiencing an event
 412 PGA higher than 0.05g, 284 had a screening PGA assigned as part of the seismic screening
 413 process. Figure 14 summarises the comparison of event PGA of the full dataset and the event
 414 PGA experienced by the 284 bridges assessed, which we refer to as the screening dataset. 89

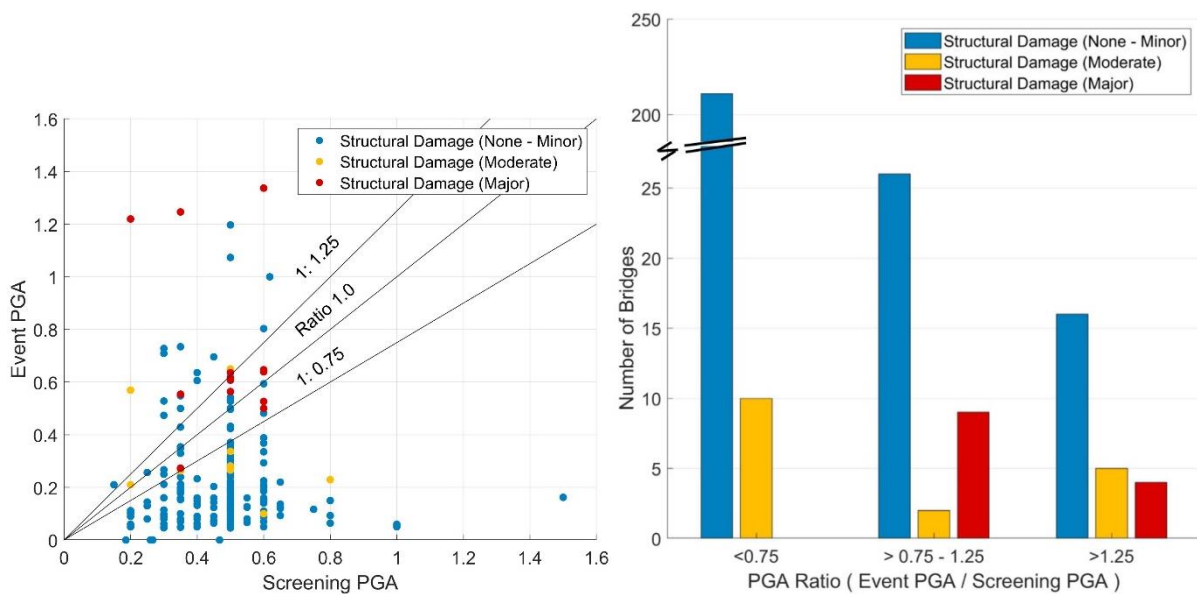
415 bridges experienced an event PGA between 0.05g to 0.10g, accounting for 36% of the total
 416 number of bridges assessed. Most of the bridges experienced low to moderate demands with,
 417 77% of bridges experiencing an event PGA below 0.30g. This distribution is comparable and
 418 representative of the distribution of the full dataset, where three quarters of bridges experienced
 419 an event PGA of less than 0.30g. The distribution in terms of the era of construction and bridge
 420 length is also similar to that shown in Figure 11 and Figure 12.



421
 422 **Figure 14. Comparison of Event PGA of the Bridges in the Dataset Which Experienced**
 423 **Event PGA higher than 0.05g and Bridges in the Dataset with Screening PGA**

424 The relationship between the event PGA and the screening PGA experienced by the
 425 284 bridges assessed is summarized in Figure 15(a). As expected, most of the bridges
 426 experienced an event PGA less than the screening PGA (85%) due to the screening PGA being
 427 related to major damage of the bridge. Some bridges experienced relatively high event PGA as
 428 compared to the screening PGA, with a number of these cases occurring during the 2016
 429 Kaikōura earthquake. Figure 15(b) shows the distribution of bridges with structural damage
 430 based on PGA ratio, where PGA ratio refers to the ratio of the event PGA to the screening
 431 PGA. The PGA ratio is divided into three categories to differentiate between cases where
 432 damage may or may not have been expected. A PGA ratio less than 0.75 suggests that
 433 significant damage was not expected, while a PGA ratio greater than 1.25 suggests that damage
 434 may have been expected. A PGA ratio between 0.75 and 1.25 suggests that damage may be
 435 possible. Based on the findings, 90% of the bridges assessed have either no or minor structural
 436 damage, and only 10% of the bridges have moderate or major damage. Most of the bridges
 437 which suffered either no or minor damage experienced a PGA ratio of less than 0.75. As
 438 expected, the higher the PGA ratio, the smaller the number of bridges with either no or minor

439 structural damage. Bridges with moderate and major damage are distributed quite evenly across
 440 the different PGA ratios.



441
 442 **Figure 15. (a) Distribution of the Data Based on Screening PGA & Event PGA; (b)**
 443 **Distribution of Data Based on PGA Ratio**

444
 445 Bridges with a PGA ratio of less than 0.75 that developed structural damage, and the
 446 bridges with a PGA ratio of more than 1.25 that developed none to minor structural damage
 447 are of particular interest. The former category could suggest that the bridge performance was
 448 worse than expected, while the latter could suggest that performance was better than expected.
 449 Of the bridges with a PGA ratio of less than 0.75, ten bridges developed moderate structural
 450 damage while none developed major structural damage. The moderate levels of damage
 451 resulted in no significant loss of functionality for these cases, and as a result the performance
 452 was likely still comparable to the seismic screening assessments. As such, there is little
 453 evidence of systematic poor performance of any bridge typologies.

454 Sixteen bridges experienced a PGA ratio of more than 1.25 that developed none to
 455 minor damage. All had either precast pre-tensioned concrete or cast-in-situ reinforced concrete
 456 superstructures. Shorter bridges in this grouping, with lengths less than 10 m, may have
 457 experienced either no or minor structural damage as abutment response can introduce
 458 significant stiffness and energy dissipation and the bridge can behave as a locked “locked-in”
 459 structure, which moves in-phase with the surrounding ground. These bridges all have wall type
 460 piers that likely have higher capacity than was originally assessed. Longer bridges in this group
 461 could have also been strongly influenced by travelling ground wave effects that result in a

462 phase lag between the seismic input motions at the piers along the length (Wood et al., 2012).
463 This could be linked to the fact that some bridges which have been overdesigned in the 1940s
464 to 1950s (Hogan et al., 2013; Palermo et al., 2010), and the likelihood of seismic capacity for
465 the bridges built in 1930s to exceed design levels due to structural configurations (Hogan et
466 al., 2013).

467 **6 CONCLUSIONS**

468 This study has assessed the historic seismic bridge performance of the New Zealand highway
469 bridge stock from the 1968 Inangahua earthquake through to the 2016 Kaikōura earthquake.
470 From the geospatial analysis conducted, there were over 800 instances of bridges experiencing
471 a PGA higher than 0.05g, with some bridges experiencing this level of shaking in multiple
472 earthquakes. These bridges are mostly distributed along the eastern to the southern part of
473 North Island and the northern part of South Island, aligning with regions with the highest
474 seismic hazard across the country. Most of the bridges experienced small to moderate PGA
475 levels, with approximately three quarters of the bridges experiencing PGA below 0.30g.

476 The number of bridges with moderate and major damage is relatively small, only 4%
477 across all event PGA as compared with bridges with none to minor damage. Overall, this data
478 suggests that the performance of bridges in terms of structural response is generally good across
479 all PGA levels. Similar to the bridges exhibiting structural damage, the percentage of bridges
480 with moderate or major geotechnical damage is relatively small, only 2% and 4% respectively
481 across all PGA levels. Of the many types of structural damage observed, spalling and cracking
482 of piers was the most common form of damage. Of the major geotechnical damage observed,
483 approach settlement was the most common form, resulting from liquefaction-induced lateral
484 spreading. There was no clear difference in geotechnical damage based on abutment type, with
485 a similar number of bridges damaged with monolithic and seat-type abutments. Although most
486 of the bridges which experienced none to minor structural damage were constructed in Era 2
487 (50%) and have bridge lengths between 0 m to 50 m (65%), based on the comparison of bridge
488 performance across different eras and bridge lengths, no clear differences were observed
489 despite the varied design and construction practices. The results are as expected for none to
490 minor damage, with a larger percentage of bridges at lower event PGA levels across all eras.

491 Comparison of the data with the NZ Transport Agency seismic screening results
492 suggests that the performance of bridges was generally good. Of the bridges that experienced
493 an Event PGA smaller than Screening PGA, none developed major structural damage, and only

494 10 developed moderate structural damage with no significant loss of functionality. Of the
495 bridges that experienced a larger Event PGA than Screening PGA, 16 developed none to minor
496 damage. Some shorter bridges may have performed better than expected due to the effects of
497 abutment damping and stiffness. Longer bridges might have performed better due to travelling
498 wave effects that results in a phase lag between the seismic input motions at the piers along the
499 length. Other factors which were not considered in this research, such as site conditions,
500 geometry and orientation of the bridge could also have influenced performance. These factors
501 could be accounted for in future specific assessment of these case histories, together with the
502 use of other intensity measures for more site-specific assessment of bridge case histories.

503

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